

PATENT



RECEIVED

MAY 21 2001

Group 2100

A-69408/SFC/JWC
P5209

6AU-2282
2182

CERTIFICATE OF MAIL UNDER 37 CFR 1.10

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on May 17, 2001.

Signed: _____

Laura Lee Mosier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

RAHUL SHARMA ET AL.

Serial No.: 09/577,188

Filing Date: MAY 24, 2000

For: **Resource Adapter and XML
Descriptor for Use in a Client
Environment Utilizing an Enterprise
Information System**

Examiner:

To be assigned

Group Art Unit:

2782

PRELIMINARY AMENDMENT TRANSMITTAL

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-identified application.

- ☐ Small entity status of this Application under 37 CFR 1.9 and 1.27 has been established by a Verified Declaration previously submitted.
- ☐ A Verified Declaration of Small Entity Status Under 37 CFR 1.9 and 1.27 is enclosed.

Also enclosed:

- ☐ Information Disclosure Statement
- ☐ Declaration
- ☐ Associate Power of Attorney
- ☐ Other:

The fees have been calculated as shown below:

	Claims Remaining After Amendment	Minus	Highest Previously Paid For	Present Extra	Small Entity Rate Fee	OR	Other than a Small Entity Rate Fee
Total Claims	10	-	20	0	x 9 = \$		x 18 = \$
Indep Claims	2	-	3	0	x 40 = \$		x 80 = \$
[] Multiple Dependent Claim Presented and Fee not Previously Paid					+135 = \$		+270 = \$-0-
					TOTAL		TOTAL \$ 0.00

Total Additional Claims Fee:

\$ 0.00

Small Entity

<input type="checkbox"/>	One month	\$ 55.00
<input type="checkbox"/>	Two months	\$195.00
<input type="checkbox"/>	Three months	\$445.00
<input type="checkbox"/>	Four months	\$695.00
<input type="checkbox"/>	Five months	\$945.00

Large Entity

<input type="checkbox"/>	One month	\$110.00
<input type="checkbox"/>	Two months	\$390.00
<input type="checkbox"/>	Three months	\$890.00
<input type="checkbox"/>	Four months	\$1,390.00
<input type="checkbox"/>	Five months	\$1,890.00

Extension of Time Fee:

\$ 0.00

- ☐ Fee regarding Information Disclosure Statement:
- ☐ Fee Under 37 CFR 1.17(p) \$240.00
- ☐ Petition Fee Under 37 CFR 1.17(i) \$130.00

Total Information Disclosure Statement Fee:

\$ 0.00

Other fees (list individually)
(none)

Total Other Fees:

\$ 0.00

TOTAL FEES:

\$ 0.00


PATENT

A-69408/SFC/JWC
P5209

- ☐ A check including the amount of the above indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 03-1600 in the amount of \$
- ☐ A check in the amount of \$__ is attached.
- ☒ No fee is required.
- ☒ Conditional Petition for Extension of Time:
An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this transmittal have been considered.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 06-1300: A-69408/SFC
- ☒ Any filing fees under 37 CFR 1.16 including fees for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

5/19/01
Date


Steven F. Caserza
Reg. No. 29,780

Flehr Hohbach Test Albritton & Herbert LLP
Four Embarcadero Center
Suite 3400
San Francisco, CA 94111-4187
Telephone: (650) 494-8700
Facsimile: (650) 494-8771